

DRAFT TANZANIA STANDARD

(Draft for comments only)

Information technology — Artificial intelligence — Guidance on risk management

TANZANIA BUREAU OF STANDARDS

0 National Foreword

This draft Tanzania Standard is being prepared by the Alarm and Electronic Security Systems Technical Committee of the Tanzania Bureau of Standards (TBS), under the supervision of the Electrotechnical Divisional Standards Committee (EDC)

This Tanzania Standard is an adoption of the International Standard *ISO/IEC 23894*:2023, *Information technology — Artificial intelligence — Guidance on risk management*, which has been prepared by jointly by the International Organisation for Standardisation (ISO) and the International Electrotechnical Commission (IEC).

Terminology and conventions

Some terminologies and certain conventions are not identical with those used in Tanzania Standards; Attention is drawn especially to the following:

- 1) The comma has been used as a decimal marker for metric dimensions. In Tanzania Standards, it is current practice to use "full point" on the baseline as the decimal marker.
- 2) Where the words "International Standard(s)" appear, referring to this standard they should read "Tanzania Standard(s)".

ISO/IEC 23894:2023(E)

Contents			
Forev	word		iv
Intro	ductio	on	v
1	Scop	e	1
2	Norn	native references	1
3	Terms and definitions		
-			
4	Principles of AI risk management		
5		nework	
	5.1 5.2	General Leadership and commitment	
	5.3	Integration	
	5.4	Design	
	3.4	5.4.1 Understanding the organization and its context	
		5.4.2 Articulating risk management commitment	8
		5.4.3 Assigning organizational roles, authorities, responsibilities and accountabilities	
		5.4.4 Allocating resources	
		5.4.5 Establishing communication and consultation	
	5.5	Implementation	
	5.6	Evaluation	9
	5.7	Improvement	9
		5.7.1 Adapting	
		5.7.2 Continually improving	
6	Risk management process		9
	6.1	General	
	6.2	Communication and consultation	
	6.3	Scope, context and criteria	
		6.3.1 General	
		6.3.2 Defining the scope	
		6.3.3 External and internal context	
	6.4	6.3.4 Defining risk criteria	
	6.4	Risk assessment 6.4.1 General	
		6.4.2 Risk identification	
		6.4.3 Risk analysis	
		6.4.4 Risk evaluation	
	6.5	Risk treatment	
		6.5.1 General	
		6.5.2 Selection of risk treatment options	
		6.5.3 Preparing and implementing risk treatment plans	
	6.6	Monitoring and review	
	6.7	Recording and reporting	
Annex A (informative) Objectives			18
Annex B (informative) Risk sources			21
Annex C (informative) Risk management and AI system life cycle			
Bibliography			26

Licensed to TBS Electrotechnical Standards Section Order # NUMBER/Downloaded: 2024-08-13 Single-user licence only, copying and networking prohibited.

© ISO/IEC 2023 - All rights reserved

iii

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives or www.iso.org/directives or www.iso.org/directives or

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents) or the IEC list of patent declarations received (see https://patents.iec.ch).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see www.iso.org/iso/foreword.html. In the IEC, see www.iec.ch/understanding-standards.

This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 42, *Artificial intelligence*.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html and www.iso.org/members.html and www.iso.org/members.html and

Introduction

The purpose of risk management is the creation and protection of value. It improves performance, encourages innovation and supports the achievement of objectives.

This document is intended to be used in connection with ISO 31000:2018. Whenever this document extends the guidance given in ISO 31000:2018, an appropriate reference to the clauses of ISO 31000:2018 is made followed by AI-specific guidance, if applicable. To make the relationship between this document and ISO 31000:2018 more explicit, the clause structure of ISO 31000:2018 is mirrored in this document and amended by sub-clauses if needed.

This document is divided into three main parts:

Clause 4: Principles – This clause describes the underlying principles of risk management. The use of AI requires specific considerations with regard to some of these principles as described in ISO 31000:2018, Clause 4.

ISO/IEC 23894:2023(E)

Clause 5: Framework – The purpose of the risk management framework is to assist the organization in integrating risk management into significant activities and functions. Aspects specific to the development, provisioning or offering, or use of AI systems are described in ISO 31000:2018, Clause 5.

Clause 6: Processes – Risk management processes involve the systematic application of policies, procedures and practices to the activities of communicating and consulting, establishing the context, and assessing, treating, monitoring, reviewing, recording and reporting risk. A specialization of such processes to AI is described in ISO 31000:2018, Clause 6.

Common AI-related objectives and risk sources are provided in Annex A and Annex B. Annex C provides an example mapping between the risk management processes and an AI system life cycle.

Information technology — Artificial intelligence — Guidance on risk management

1 Scope

This document provides guidance on how organizations that develop, produce, deploy or use products, systems and services that utilize artificial intelligence (AI) can manage risk specifically related to AI. The guidance also aims to assist organizations to integrate risk management into their AI-related activities and functions. It moreover describes processes for the effective implementation and integration of AI risk management.

An and Constitution of the The application of this guidance can be customized to any organization and its context.